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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Thomas C. Naratil Group Art Unit: Not yet assigned
Serial No.: 09/617,853 Examiner: Not yet assigned
Filed: July 17, 2000 Docket No. 4034-22
For: **SYSTEM FOR TRADING FIXED INCOME FINANCIAL
INSTRUMENTS: U.S. TREASURY SECURITIES,
LIQUID AGENCIES AND ZERO COUPON STRIPS**

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Hon. Commissioner of Patents and Trademarks
Washington, DC 20231

NOV 03 2000

Technology Center 210

Sir:

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97(b)**

In accordance with the duty of disclosure under 37 CFR. §1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the following documents, which are listed on the attached PTO-1449 Form. The Examiner may deem these documents to be relevant to patentability of the claims of the above-identified application. A copy of each of the documents is enclosed for convenience of the Examiner.

The submission of the listed documents is not intended as an admission that any of the documents constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

It is understood that no fee is necessary for submission of the Information Disclosure Statement, as it is being filed before the later of three months from the filing date or the mailing of the first Office Action on the merits.

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The Commissioner is hereby authorized to charge payment of any fees
ated with this application or credit any overpayment to Deposit Account No.
0444.

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Respectfully submitted, NOV 03 2000

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Leslie Gladstone Restaino
Leslie Gladstone Restaino
Reg. No. 38,893

Enclosures

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Dated: October 16, 2000

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PTO/SB/21 (6-98)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/617,853
Filing Date	July 17, 2000
First Named Inventor	Thomas C. Naratil
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	4034-22

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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Leslie Gladstone Restaino, Esq., Reg. No. 38,893
Signature	<i>Leslie Gladstone Restaino</i>
Date	October 16, 2000

CERTIFICATE OF MAILING

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Type or printed name	Angela L. Gehring		
Signature	<i>Angela L. Gehring</i>	Date	October 16, 2000

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